

PTO/SB/08a/b (08-03)
Approved for use through 07/31/2008. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid CMB control number.

| Substitute for form 1449A/B/PTO |                         |           |            |                        |                    |            |              |  |
|---------------------------------|-------------------------|-----------|------------|------------------------|--------------------|------------|--------------|--|
| Sub                             | SULUTE for form 1449AVB | PIU       |            | Application Number     | 09/942,408-Conf. # | 8573       |              |  |
| IN                              | IFORMATIC               | N DI      | SCLOSURE   | Filing Date            | August 29, 2001    |            | <b>EIVED</b> |  |
| S                               | TATEMENT                | BY A      | APPLICANT  | First Named Inventor   | Hiroshi Furukawa   |            |              |  |
|                                 |                         |           |            | Art Unit               | 2661 2662          | MAY 2      | 7 2004       |  |
|                                 | (Use as many            | sheets as | necessary) | Examiner Name          | Not Yet Assigned   | Tachaology | Contor 2600  |  |
| Sheet                           | 1                       | of        | 1          | Attorney Docket Number | Y2238.0029/P029    | recimology | Center 2600  |  |

|                       | U.S. PATENT DOCUMENTS |  |                                |  |   |  |  |
|-----------------------|-----------------------|--|--------------------------------|--|---|--|--|
| Examiner<br>Initials* | Cite<br>No.1          | Document Number  Number-Kind Code <sup>2</sup> ( if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |  |  |
| A92                   | AA                    | US-5,666,653   | 09-09-1997                     | Ahl  |   |  |  |
| TARY .                | AB                    | US-5,412,654   | 05-02-1995                     | Perkins  |   |  |  |

| <u> </u>  | FOREIGN PATENT DOCUMENTS |   |             |                             |   |    |  |  |
|-----------|--------------------------|---|-------------|-----------------------------|---|----|--|--|
| Examiner  | Cite                     | Foreign Patent Document   | Publication | Name of Patentee or         | Pages, Columns, Lines,<br>Where Relevant Passages |    |  |  |
| Initials* | No.1                     | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) | MM-DD-YYYY  | Applicant of Cited Document | or Relevant Figures Appear                        | T° |  |  |
|           | BA                       | GB 2 334 180 A  | 08-11-1999  | Motorola Limited            |   |    |  |  |
| 400       | BB                       | WO 95/11561   | 04-27-1995  | Southwest Wireless Systems, | -   |    |  |  |
|           |                          |   |             | Inc.                        |   |    |  |  |
| XX ~      | ВС                       | WO 99/59367   | 11-18-1999  | Fujitsu Limited             |   |    |  |  |
| 7XX       | BD                       | WO 00/14933   | 03-16-2000  | Motorola, Inc.              |   |    |  |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's urique citation designation number (optional). <sup>3</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.usnto.gov">www.usnto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

|                      | -            | NON PATENT LITERATURE DOCUMENTS   |    |
|----------------------|--------------|---|----|
| Examiner<br>Initials | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² |
| R                    | CA           | "Universal Mobile Telecommunications System (UMTS); Utran Overall Description (3F TS 25.401 Version 3.3.0 Release 1999)" ETSI TS 125 401 V3. 3.0 (1999), pages 1, 1-36  |    |
| X                    | СВ           | Y. SHEN, ET AL., "Millimeter Wave Line-of Sight Digital Radio at 38 GHz," 1997 Asia Pacific Microwave Conference, pages 21-24   |    |
| H                    | CC           | KUROSAKI S., ET AL., "A Smart Multiple Sector Antennas Control Technique for a High-<br>Speed ATM Wireless Access System Using 25-GHz Band," Vehicular Technology<br>Conference, IEEE, May 16-20, 1999, pages 458-462   |    |
| X                    | CD           | ROOBOL, C., "Multihop Radio Networks In Randon Terrains: Connectivity And Some Terrain Adaptive Routing Algorithms" Military Communications Conference 1993, MILCOM '93, Conference Record, IEEE Boston, MA October 11-14, 1993, pages 428-1993                 |    |
| 86                   | CE           | MEINEL, H.H., "Recent Advances On Millimeterwave Pcn System Development In Europe – An Invited Survey," Microwave Symposium Digest, 1995, Orlando FL, May 16-20, 1995, pages 401-404  |    |
| YY                   | CF           | European Search Report dated April 5, 2004  |    |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

BEST AVAILABLE COPY

PTO/SB/08a/b (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it contains a valid OMB control number.

Application Number

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

09/942,408-Conf. #8573 August 29, 2001 First Named Inventor Hiroshi Furukawa 2661-266Z Art Unit

(Use as many sheets as necessary)

Examiner Name Not Yet Assigned Y2238.0029/P029

Complete if Known

Sheet of

Substitute for form 1449A/B/PTO

Attorney Docket Number

Technology Center 2600

|                       |              |  | U.S. PAT                       | ENT DOCUMENTS                                      |   |
|-----------------------|--------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No.1 | Document Number  Number-Kind Code <sup>2</sup> ( if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |              |  |                                |  |   |

1

|           |      | FOREI   | GN PATENT I        | DOCUMENTS                   |   |  |
|-----------|------|---|--------------------|-----------------------------|---|--|
| Examiner  | Cite | Foreign Patent Document   | Publication        | Name of Patentee or         | Pages, Columns, Lines,                                |  |
| Initials* | No.1 | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) | Date<br>MM-DD-YYYY | Applicant of Cited Document | Where Relevant Passages<br>or Relevant Figures Appear |  |
| Str       | ВА   | JP 2002-515715  | 05-28-2002         |                             |   |  |
| USK       | BB   | JP 2002-199431  | 07-12-2002         |                             |   |  |
| XV.       | BC   | JP 8-37535  | 02-06-1996         |                             |   |  |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

|                      |              | NON PATENT LITERATURE DOCUMENTS   |    |
|----------------------|--------------|---|----|
| Examiner<br>Initials | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² |
| X                    | CA           | Japanese Office Action issued June15, 2004 (w/ English translation of relevant portions)  |    |

\*EXAMINER: Initial If reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

8 May

BEST AVAILABLE COPY